

JC931 U.S. PTO
02/05/02

02-07-02

PATENT

Atty. Docket No. 01 P 14623 US (8055-113)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner
for Patents
Washington, D.C. 20231

JC978 U.S. PTO
10/06/03
02/05/02

UTILITY APPLICATION FEE TRANSMITTAL

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Enio L. Carpi; Bernhard Liegl; and Peter Thwaite

For: MASK ALIGNMENT METHOD

Enclosed are:

- ☒ 6 page(s) of specification
- ☒ 1 page(s) of Abstract
- ☒ 3 page(s) of claims
- ☒ 3 sheets of drawings ☒ formal ☐ informal
- ☒ 3 page(s) of Declaration and Power of Attorney

☒ An Assignment of the invention to Infineon Technologies North America Corp.

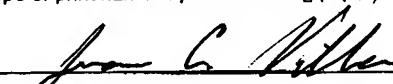
FEE VALUE ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
FEE CODE	VALUE FURNISHED

CERTIFICATION UNDER 37 C.F.R. 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date February 5, 2002 in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL822652575US addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Juan C. Villar

(Type or print name of person mailing paper)


(Signature of person mailing paper)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 780.00)

Complete if Known

Application Number
Filing Date
First Named Inventor Enio L. Carpi
Examiner Name
Group Art Unit
Attorney Docket No. 01 P 14623 US (8055-113)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 19-2179
Deposit Account Name Siemens Corporation
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:
☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	\$740.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ 740.00)

2. EXTRA CLAIM FEES

Total Claims 13
Independent Claims 3
Multiple Dependent

Extra Claims Fee from below Fee Paid
-20 = 0 x \$18 = \$0
-3 = 0 x \$84 = \$0
\$280 = \$0

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 0.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 480	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(a)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	\$40
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

SUBMITTED BY

Name (Print/Type) Stanton C. Braden

Signature

Registration No. 32,556
(Attorney/Agent)

Complete (if applicable)

Telephone (516) 357-0091

Date February 5, 2002

☐ This application claims the benefit under 35 U.S.C. ' 119(e) of U.S. Provisional Application(s) No(s).:

APPLICATION NO(S).:

FILING DATE

____/____/____

____/____/____

☐ Certified copy of applications

Country

Appln. No.

Filed

from which priority under Title 35 United States Code, ' 119 is claimed
☐ is enclosed.

☐ will follow.

CALCULATION OF UTILITY APPLICATION FEE

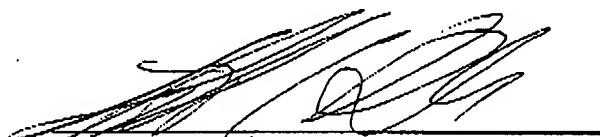
For	Number Filed	Number Extra	Rate	Basic Fee \$740.00
TOTAL CLAIMS	13	0	x 18 =	\$0
INDEPENDENT CLAIMS	3	0	x 84 =	\$0
<input type="checkbox"/> Multiple Dep. Claim	0		280	\$0
				TOTAL \$740.00

☐ Verified Statement of "Small Entity" Status Under 37 C.F.R. ' 1.27. Reduced fees under 37 C.F.R. ' 1.9(f) (50% of total) paid herewith \$.

*Includes all independent and single dependent claims and all claims referred to in multiple claims. See 37 C.F.R. ' 1.75(c).

- ☐ Check in the amount of \$ to cover the ☐ recording, ☐ filing fee(s) are attached.
- ☒ Charge \$ 740.00 filing fee and \$ 40.00 assignment fee to Deposit Account No. 19-2179. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.
- ☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. ' 1.16 and 1.17, at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 19-2179. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 19-2179 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Date: February 5, 2002


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